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## Extraction of temporal expressions, events and relations from clinical narratives using rules and machine-learning

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## **TASK 1: TEMPORAL EXPRESSIONS**





The **identification** phase consists of a pre-processing step, using GATE<sup>2</sup>, followed by a **rule-based** pipeline. For the **normalisation** phase we have developed Clinical NorMA, an **open-source** dictionary-driven rule-based system.

• Run 1 uses rules optimised for F-measure

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- Run 2 uses recall-optimised rule-based predictions
- Run 3 uses rules optimised according to the precision measure

Normalisation settings have been kept constant through the different runs.



# Run	Strict matching			Lenient matching			Normalisation			# Run	Strict matching			Lenient matching			Normalisation		
	Ρ	R	F	Р	R	F	Туре	Value	Modifier		Р	R	F	Ρ	R	F	Туре	Value	Modifier
Run 1	83.79	81.37	82.56	91.61	89.05	90.31	81.37	68.40	80.67	Run 1	78.03	78.41	78.22	89.23	89.62	89.42	76.47*	39.95*	79.89*
Run 2	76.81	83.00	79.78	86.04	93.17	89.46	84.86	72.05	83.93	Run 2	77.03	79.62	78.30	88.68	91.54	90.08	84.73	70.44	82.75
Run 3	82.58	82.22	82.40	90.59	90.30	90.44	82.38	69.25	81.68	Run 3	79.85	77.09	78.45	90.38	87.25	88.79	65.49*	39.89*	77.80*
training set - micro-averaged results								test set - micro-averaged results; * technical glitch											

## TASK 2: EVENTS

The event recognition task relies on a **hybrid** architecture (rules and machine learning). The pre-processing module uses cTAKES<sup>4</sup>. For each type of event, a CRF<sup>3</sup>-based component has been trained, whereas the recognition of clinical departments has been tackled using a dictionary-based component trained on **i2b2 2010**<sup>5</sup> and **2012 training sets**.



The end-to-end task aimed to test the entire pipeline by using the events and temporal expressions predicted, instead of the gold standard.



## The architecture of the system is the same as Task 3.

- Run 1 maximises the recall by using temporal expressions and events predicting with the recall-optimised runs (second runs respectively) along with temporal relations generated from steps a, b, c, d and f in Task 3
- **Run 2** maximised the F-measure by using the first runs of tasks 1 and 2 along with the same previous temporal relations settings
- **Run 3** aims at maximise the precision.

Temporal expressions											
Р	R	P&R	F	Туре	Value	Modifier					
85.93	86.21	86.07	86.07	80.71	67.42	79.01					
Events											
Р	R	P&R	F	Туре	Polarity	Modality					
88.15	84.17	86.11	86.11	81.15	81.15	80.43					
Temporal links											
Ρ		R		P&R		F					
32.8	1	36.32		34.10		34.48					
test set - micro-averaged results											
SUMMARY											
Task 1: Temporal expressions											
63.45%											
		sta	ndard deviation								
Task 2: E	vents										

- Runs differ for the clinical department predictions:
- Run 1 uses the dictionary-based component for clinical departments
- Run 2 uses the union of both the components for clinical departments

# Run	Strict matching			Lenient matching			Attributes		# Run	Strict matching			Lenient matching			Attributes	
	Ρ	R	F	Ρ	R	F	Polarity	Modality		Ρ	R	F	Р	R	F	Polarity	Modality
Run 1	82.54	74.71	78.43	89.95	81.42	85.47	75.22	78.42	Run 1	82.05	77.05	79.71	89.64	84.66	87.08	78.81	80.08
Run 2	82.56	74.68	78.42	89.96	81.39	85.46	75.19	78.39	Run 2	81.74	78.05	79.85	89.35	85.32	87.29	79.45	81.53
training set - micro-averaged results								test set - micro-averaged results									

TASK 3: TEMPORAL RELATIONS

Recognition of temporal links is divided into **two steps**:

 identify pairs of entities (events and temporal expressions) to be linked together



- The temporal links are **generated over every pair** of:
- a) events in the same sentence;
  b) events and temporal expressions in the same sentence;
  c) events sharing a common MetaMap<sup>1</sup> concept;
  d) events referring to the same temporal expression;
  e) events connected with a cTAKES<sup>4</sup> co-reference relation;
- f) events and appropriate section date (admission or discharge).
- categorise them in one of After, Before and Overlap.

The rule-based component uses **lexical collocations** and **temporal signals** to predict the link type whereas the ML one uses CRFs<sup>3</sup>.

- Run 1 uses recall-optimised rules and the machine learning predictor
- Run 2 uses precision-optimised rules and machine learning predictor (step a, b, c and f)
- **Run 3** maximises the F-measure using recall-optimised rules and machine learning predictor.

Run	5	Submitted <sup>•</sup>	*	Corrected ;)					
	Р	R	F	Ρ	R	F			
un 1	77.06*	25.02*	37.98*	37.84	55.42	44.57			
un 2	80.57*	24.07*	37.07*	51.45	48.57	49.97			
un 3	80.54*	24.06*	37.05*	38.06	54.78	44.91			

**test set** - micro-averaged results; \* partial results



corrected

Task 3: Temporal relations

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 Lafferty, J. D., McCallum, A., and Pereira, F. C. N. Conditional random fields: Probabilistic models for segmenting and labelling sequence data. In ICML (2001), pp. 282–289.
 Savova, G. K., Masanz, J. J., Ogren, P. V., Zheng, J., Sohn, S., Schuler, K. K., and Chute, C. G. Mayo clinical text analysis and knowledge extraction system (cTAKES): architecture, component evaluation and applications. JAMIA 17, 5 (2010), 507–513.
 Uzuner, O., South, B. R., Shen, S., and DuVall, S. L. 2010 i2b2/va challenge on concepts, assertions, and relations in clinical text. JAMIA 18, 5 (2011), 552–556.

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87.29%

standard deviation

max





